

**"EXPRESS MAIL" MAILING**

**LABEL NUMBER**

EY30076707345

11/25/03

133987

**METHOD AND APPARATUS FOR PRODUCING  
TRACTIVE EFFORT**

**BACKGROUND**

**[0001]** The present invention relates generally to electric traction systems and more specifically to the use of energy batteries and power batteries in combination for producing tractive effort and for non-traction purposes. While this disclosure emphasizes the use of electric traction systems in locomotives and off-highway vehicles, it will be obvious to one of ordinary skill in the art that the instant invention is useful in other vehicular and non-vehicular applications as well.

**[0002]** In a wide variety of applications, electric traction systems include electric batteries to improve system efficiency. These batteries are typically classified as either "energy batteries" or "power batteries" depending on whether their designs are optimized for energy density or power density, respectively. Some traction applications favor one battery class over the other; other applications favor a combination of both energy and power batteries. During motoring operation, the batteries are discharged through electric motors to produce tractive effort. During braking operation, the motors are operated as generators to re-charge the batteries.

**[0003]** In systems incorporating both energy and power batteries (dual battery systems), initial and supplemental charging of the energy batteries are typically achieved either mechanically, by replacing parts of the battery, or electrically by coupling to the power utility grid. An opportunity exists, to provide an alternative means of charging energy batteries in dual battery systems.

**[0004]** In addition to providing power for the traction application, the traction system's electrical power production capability can be used for non-traction purposes. Additional opportunities exist, therefore, to exploit the electrical power production capability of electric traction systems for non-traction applications.

## SUMMARY

**[0005]** The opportunities described above are addressed, in one embodiment of the present invention, by an apparatus for producing tractive effort, the apparatus comprising: an energy source adapted for generating a high DC (direct current) voltage; a motor drive adapted for generating a motor voltage from the high DC voltage; and a motor adapted for producing the tractive effort from the motor voltage, the energy source comprising: a heat engine adapted for generating mechanical power by burning a fuel; an alternator adapted for generating an alternating voltage from the mechanical power; a rectifier adapted for rectifying the alternating voltage and producing a low DC voltage; an energy battery adapted for storing and delivering energy derived from the low DC voltage; and a traction boost converter adapted for boosting the low DC voltage to produce the high DC voltage, the motor drive comprising: a power battery adapted for storing energy and delivering power at the high DC voltage; and a traction converter adapted for generating the motor voltage from the high DC voltage during motoring operation and for generating the high DC voltage from the motor voltage during braking operation.

**[0006]** The present invention is also embodied as a method comprising the acts of: generating a high DC voltage; generating a motor voltage from the high DC voltage; and producing the tractive effort from the motor voltage, the act of generating the high DC voltage comprising: burning a fuel to generate mechanical power; generating an alternating voltage from the mechanical power using an alternator; rectifying the alternating voltage to produce a low DC voltage using a rectifier; storing and delivering energy derived from the low DC voltage using an energy battery; and boosting the low DC voltage to produce the high DC voltage, the act of generating a motor voltage comprising: storing energy and delivering power at the high DC voltage using a power battery; and generating the motor voltage from the high DC voltage during motoring operation and generating the high DC voltage from the motor voltage during braking operation.

## DRAWINGS

**[0007]** These and other features, aspects, and advantages of the present invention will become better understood when the following detailed description is read with reference to the accompanying drawings in which like characters represent like parts throughout the drawings, wherein:

**[0008]** Figure 1 illustrates a block diagram of an apparatus for producing tractive effort in accordance with one embodiment of the present invention.

**[0009]** Figures 2-9 illustrate block diagrams of other embodiments in accordance with the embodiment of Figure 1.

**[0010]** Figure 10 illustrates a block diagram of a locomotive in accordance with another embodiment of the present invention.

**[0011]** Figure 11 illustrates a block diagram of an off-highway vehicle in accordance with another embodiment of the present invention.

## DETAILED DESCRIPTION

**[0012]** In accordance with one embodiment of the present invention, Figure 1 illustrates a block diagram of an apparatus 100 for producing tractive effort. Apparatus 100 comprises an energy source 110, a motor drive 130, and a motor 150. In operation, energy source 110 generates a high DC voltage 120. Motor drive 130 generates a motor voltage 140 from high DC voltage 120, and motor 150 produces tractive effort from motor voltage 140. As used herein, motor 150 refers to any electrical apparatus capable of producing mechanical power from electrical power including, without limitation, single phase or multiple phase, AC (alternating current) or DC motors.

**[0013]** In the embodiment of Figure 1, energy source 110 comprises a heat engine 160, an alternator 180, a rectifier 200, an energy battery 220, and a traction boost converter 230. In operation, heat engine 160 generates mechanical power 170 by

burning a fuel. Alternator 180 generates an alternating voltage 190 from mechanical power 170. Rectifier 200 then rectifies alternating voltage 190 to produce a low DC voltage 210. Energy battery 220 stores and delivers energy derived from low DC voltage 210, and traction boost converter 230 boosts low DC voltage 210 to produce high DC voltage 120. As used herein in reference to DC voltages, "low" and "high" are relative terms only and imply no particular absolute voltage levels.

[0014] Motor drive 130 comprises a power battery 240 and a traction converter 250. In operation, power battery 240 stores energy and delivers power at high DC voltage 120. Traction converter 250 generates motor voltage 140 from high DC voltage 120 during motoring operation and generates high DC voltage 120 from motor voltage 140 during braking operation.

[0015] In a more detailed embodiment in accordance with the embodiment of Figure 1, a ratio of the energy storage capacity of motor drive 130 to the power delivered by energy source 110 at high DC voltage 120 is between about 0.001 hours and about 60 hours.

[0016] In another more detailed embodiment in accordance with the embodiment of Figure 1, a ratio of the energy storage capacity of motor drive 130 to the power delivered by energy source 110 at high DC voltage 120 is between about 0.5 hours and about 20 hours.

[0017] In accordance with another embodiment of the present invention, Figure 2 illustrates a block diagram wherein apparatus 100 further comprises a cranking inverter 260. In operation, cranking inverter 260 generates a cranking voltage 265 from low DC voltage 210 during cranking operation of alternator 180. "Cranking operation" refers to the practice of using alternator 180 as a motor to apply torque for starting heat engine 160.

[0018] In a more detailed embodiment in accordance with the embodiment of Figure 2, cranking inverter 260 is bi-directional and further comprises a charging

boost converter 270. In operation, charging boost converter 270 boosts alternating voltage 190 to a higher voltage more suitable for charging energy battery 220.

**[0019]** In accordance with another embodiment of the present invention, Figure 3 illustrates a block diagram wherein apparatus 100 further comprises a utility converter 280. In operation, utility converter 280 serves as an emergency generator converting low DC voltage 210 to a utility voltage 290 suitable for coupling to a utility grid 300. In some embodiments, converter 280 is a bi-directional device selectively allowing charging of energy battery 220 directly from utility grid 300.

**[0020]** In an alternative embodiment, shown in Figure 4, utility converter 280 is fed from high DC voltage 120 instead of from low DC voltage 210. In some embodiments, converter 280 is a bi-directional device selectively allowing charging of power battery 240 directly from utility grid 300.

**[0021]** In accordance with another embodiment of the present invention, Figure 5 illustrates a block diagram wherein apparatus 100 further comprises a cranking inverter 260 and a transfer switch 310. In operation, cranking inverter 260 selectively generates a cranking voltage 265 or a utility voltage 290 from low DC voltage 210. Transfer switch 310 selectively couples cranking voltage 265 to alternator 180 or utility voltage 290 to utility grid 300.

**[0022]** In a more detailed embodiment in accordance with the embodiment of Figure 5, cranking inverter 260 is bi-directional and comprises a charging boost converter 270. In operation, charging boost converter 270 boosts alternating voltage 190 to a higher voltage more suitable for charging energy battery 220. In an alternative embodiment, shown in Figure 6, cranking inverter 260 is fed from high DC voltage 120 instead of from low DC voltage 210 and may be used to charge power battery 240.

**[0023]** In another embodiment in accordance with the embodiment of Figure 1, Figure 7 illustrates a block diagram wherein energy source 110 further comprises an ultracapacitor bank 320. In operation, ultracapacitor bank 320 stores and delivers

electrical energy. In this embodiment, traction boost converter 230 performs the additional function of controlling energy flows among rectifier 200, energy battery 220, and ultracapacitor bank 320.

[0024] In another embodiment in accordance with Figure 7, energy source 110 further comprises a unidirectional coupler 330. In operation, unidirectional coupler 330 conducts current from energy battery 220 to ultracapacitor bank 320 when the voltage of ultracapacitor bank 320 is lower than the voltage of energy battery 220.

[0025] In another embodiment in accordance with Figure 1, Figure 8 illustrates a block diagram wherein motor drive 130 further comprises a power ultracapacitor 340. In operation, power ultracapacitor 340 stores and delivers energy derived from high DC voltage 120.

[0026] In another more detailed embodiment in accordance with the embodiment of Figure 1, motor voltage 140 is a DC voltage and motor 150 comprises a DC motor.

[0027] In another more detailed embodiment in accordance with the embodiment of Figure 1, Figure 9 illustrates a block diagram wherein alternator 180 and rectifier 200 are further adapted for supplying power to auxiliary loads 350 and thus serve as an auxiliary power unit.

[0028] In accordance with another embodiment of the present invention, Figure 10 illustrates a block diagram of a locomotive 400. In addition to the components of apparatus 100, locomotive 400 comprises a wheel 420. In this embodiment, motor 150 produces a motor torque 410 from motor voltage 140. Wheel 420 produces tractive effort 430 from motor torque 410 and applies tractive effort 430 to a rail 440.

[0029] In accordance with another embodiment of the present invention, Figure 11 illustrates a block diagram of an off-highway vehicle 500. In addition to the components of locomotive 400, off-highway vehicle 500 comprises a tire 520. In this embodiment, wheel 420 produces a wheel torque 510 from motor torque 410. Tire

520 produces tractive effort 430 from wheel torque 510 and applies tractive effort 430 to an off-highway surface 540.

**[0030]** While only certain features of the invention have been illustrated and described herein, many modifications and changes will occur to those skilled in the art. It is, therefore, to be understood that the appended claims are intended to cover all such modifications and changes as fall within the true spirit of the invention.